



COLORADO
Department of Education

Transition Age File Reviews: Indicator 13

Notice of Change in Reporting Requirements

Notice of Change in CDE Guidance on Compliance for Postsecondary Goals (PSGs)

January 17, 2020

Agenda

- Notice of Change in Reporting Requirements
- Data Verification and Reporting
- Notice of Change in CDE Guidance on Compliance for Postsecondary Goals (PSGs)
- Questions



Indicator 13 Definition



Measures the percent of youth age 15 and above with an IEP that includes:

1. Appropriate measurable postsecondary goals (PSGs) related to training/education, career/employment, and, where appropriate, independent living skills
2. PSGs are updated annually
3. PSGs are based on age appropriate transition assessments
4. Transition services focused on improving the academic and functional achievement to facilitate the movement from school to post-school activities
5. Courses of study needed to assist the student in reaching the PSGs
6. Annual goals related to transition services
7. Evidence that student was invited to the IEP meeting
8. Prior consent of parents to invite a representative of an outside agency

Notice of Change in Reporting Requirements



Reporting Indicator 13 Compliance



Office of Special Education Programs (OSEP) clarification to State Education Agencies (SEAs) 12/16/19

- “while the SEA may provide an LEA the opportunity to correct noncompliance prior to the issuance of a written finding, the percentage reported in the APR must reflect the AU’s actual level of compliance ***prior to the opportunity to correct any noncompliance.***”

Effective immediately with the SY 2019-2020 file reviews

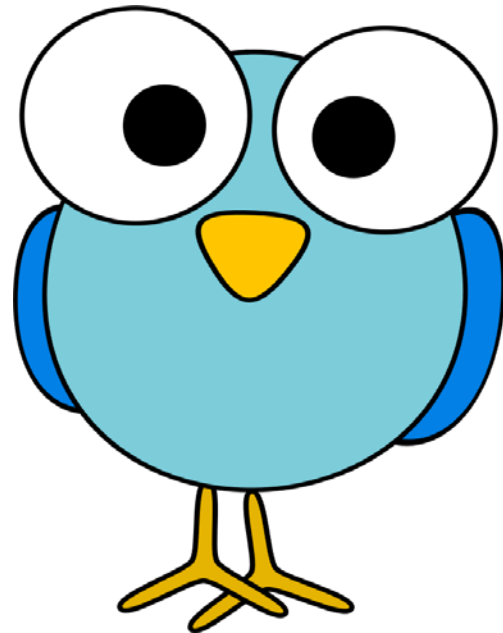




What does this mean for CDE/AU reporting?



- Once CDE reviews a transition IEP (has eyes on it), and
- finds noncompliance in one or more elements required for I 13, then
- the IEP must be considered noncompliant for I 13 reporting purposes.
 - Individual corrections of noncompliance are still required to be completed per OSEP Memo 09-02.





Change for AUs that participate in CDE/AU collaborative IEP reviews



Change

The AUs reported compliance percentage for Indicator 13 is based on results of the side-by-side file reviews **prior to any corrections.**

Example: 5 IEPs are reviewed, 1 IEP is determined to be noncompliant, the AU's Indicator 13 compliance percentage is reported as 80%, 4 out of 5 IEPs compliant.

Correction process remains unchanged:

- At the end of these meetings, the Director has access to a list of any individual corrections of noncompliance.
- AUs have 45 days following the date of the record reviews to complete any corrections of noncompliance, upload new documents to the DMS, and notify CDE of the completed corrections.
- The CDE team reviews the corrections for compliance and advises the Director of the status of the corrections.

Suggestions, Tools and Resources



Suggestions, tools and resources

Suggestions to lessen the likelihood of noncompliance when the data window closes:

- Provide training on the DMS record review process to staff conducting file reviews
- Review files for compliance on a regular basis: monthly, bi-monthly, quarterly
- Review files prior to a side-by-side collaborative review with CDE
- Use the [Secondary Transition IEP File Review Checklist](#)
- Require the AU's designated reviewer to submit the completed Transition Tracker to the Director ***well ahead*** of the June 1st deadline
- Establish a systemic accountability check procedure

Important:
Don't wait until May 2020 to review IEPs





Process: Which student files do we review?

Download the pre-populated 2019-20 Transition Tracker and List from the Attachment section of the Compliance tab in the DMS.

- Excel spreadsheet – 2 tabs at the bottom
 - Tracker – used for this process
 - Full Record – student detail for your use in selection

Profile Dispute Resolution **Compliance** Fiscal Family-School Performance Summary

Attachments List - Full Details

Print Help

+ Upload Attachment

File	Confidential?	AU Access?	Date	
<input type="checkbox"/> SY18-19 I 13 Final Results	Yes	Yes	8/5/2019	Edit
<input type="checkbox"/> 2019-20 Transition Tracker and List	No	Yes	7/29/2019	Edit
<input type="checkbox"/> 19-20 Standard review student list	No	No	7/1/2019	Edit
<input type="checkbox"/> 19-20 EC student review list	No	No	7/1/2019	Edit





Tool: Transition Tracker



Transition Tracker (this is your friend!)

Identifies required number of reviews

Prepopulated: DMS Record Number, SASID, First Name, Last Name, DOB and Review By date

Required - AUs complete: Date of IEP, Compliant (Yes/No drop down menu)

Optional – AUs complete: Case Manager, Reason not reviewed

Use to verify your compliance results before the deadline (no surprises)



A	B	C	D	E	F	G	H	I	J
Number of Transition IEPs available for review=	10	<p>You have been given <i>double</i> the number of records that are required. The extra records are here to give you flexibility in the records you choose to review. This also gives you the opportunity to review a representative sample from your AU (e.g., different schools, teachers, districts).</p> <p>Fill in the IEP date and compliance outcome for reviewed files then upload completed Transition Tracker to the Attachment section in Compliance tab by June 1, 2020.</p>							
Number of Transition IEP reviews required=	5								
Please select 5 from the Table below.									
DMS Record Number	SASID	First Name	Last Name	DOB	Date of IEP July 1, 2019 to June 1, 2020	Review By	Compliant?	Case Manager	Reason not reviewed





Process: Complete and Upload Transition Tracker



For each of the reviewed student files complete the following steps:

1. Fill in the date of the IEP – IEPs that fall outside of the range will not be accepted
2. Use the drop-down menu to indicate the compliance of the record (Yes/No)
3. Upload the completed Tracker to the Attachments section: Label it Completed I 13 Tracker 2019-20

Reminder: Use the Tracker to verify your compliance results before the deadline (no surprises)

A	B	C	D	E	F	G	H	I	J
Number of Transition IEPs available for review=	10	<p>You have been given <i>double</i> the number of records that are required. The extra records are here to give you flexibility in the records you choose to review. This also gives you the opportunity to review a representative sample from your AU (e.g., different schools, teachers, districts).</p> <p>Fill in the IEP date and compliance outcome for reviewed files then upload completed Transition Tracker to the Attachment section in Compliance tab by June 1, 2020.</p>							
Number of Transition IEP reviews required=	5								
Please select 5 from the Table below.									
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Required (pointing to Date of IEP)

Required (pointing to Compliant?)

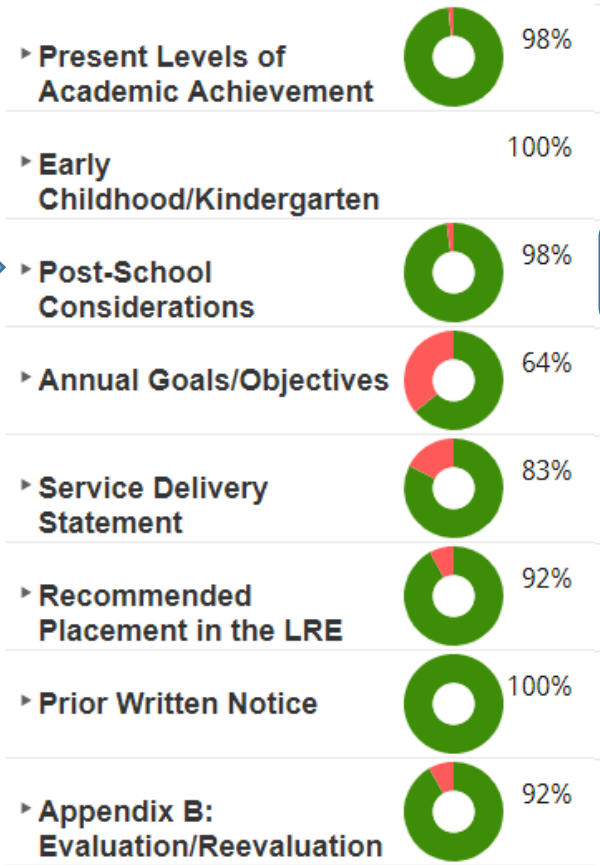




Verification of Data DMS Views (Collapsed & Expanded)



Expanded



13 Elements Expanded View

IDEA/ECEA

Post-School Considerations	98%			
Post Goals - Education	90%			
Post Goals - Career	100%			
Post Goals - Living	100%			
Post Goals Reviewed	100%			
Age App Assessments	100%			
Course of Study	100%			
Transition Services	100%			
Student Invitation	100%			
Postsecondary Meeting	90%			
Outside Agency	100%	NA	NA	NA
Annual Goals/Objectives	64%			
Goals Link to Postsecondary Goals	80%			
Measurable Goals	50%			
Aligned with Targets	30%			





Verification of Data



- Verify your DMS data well before the June 1st deadline to ensure that any IEP with noncompliant I 13 elements or incomplete reviews have been corrected and amended. **There should be no blank spaces or red indicators** in your reviewed IEP protocol on the DMS when the data collection window closes.

Course of Study	93%								
Transition Services	100%								
Student Invitation	92%								
Outside Agency	100%	NA	NA	NA		NA	NA	NA	

No Blank spaces





Data Submission Deadline and Reporting



- Data submission window closes at 5:00 PM on June 1, 2020
- Access to these files in the DMS will be locked

Important: Requests to reopen the data column due to incomplete reviews or correction of noncompliant IEPs after the June 1st deadline will result in one point lost for Accurate and Timely Submission of Data on the Compliance Matrix for 2021 AU Determination.

Notice of Change in CDE Guidance on Compliance for Postsecondary Goals (PSGs)



Change in CDE Guidance and Reporting*

- **All** transition age students must have measureable postsecondary goals in education/training and career/employment to be considered compliant with IDEA, **regardless of the severity of disability**. 34 C.F.R. §300.320(b)(1)
- Effective immediately for SY 2019-2020 Transition IEPs

*Prior CDE guidance and training stated that “[o]n rare occasions, the IEP team may determine that a PSG in education/training and/or career/employment would be inappropriate and that it would be acceptable to state that the student will not be employed and/or attend training.”

This language is not consistent with IDEA and will no longer be considered compliant for I 13.



What does this mean for AUs?



- Indicator 13 Compliance & Quality Tips is being revised
- PSG replacement pages will be emailed to all Directors following this webinar.
- Directors should notify appropriate staff and provide training as needed to ensure understanding of this change in guidance.
- Any current IEP without a PSG for Education/Training and/or Career/Employment must be identified and corrected as soon as possible, no later than June 1, 2020, the close of the SY2019-20 data collection window.



Time for Questions



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