21st Century Community Learning Centers (CCLC) Grant

Monitoring Document Checklist

This checklist can be used to keep track of the documents you will need during the monitoring process of your 21st Century Community Learning Centers (CCLC) grant program at the Colorado Department of Education (CDE). Each category below reflects which documents will be needed for Pre-Visit (PV), On-Site Visit (OS), and already on file with CDE. The numbered reference for each item listed below correlates with the Compliance Area and indicator listed on the 21st CCLC Monitoring and Compliance Document. For example, the first indicator (“1. The program has a program director with appropriate staff…”) for Area of Compliance #1: Program Leadership and Staffing is listed as “1.1” in the list below.

All monitoring documents and resources can be found on the 21st CCLC website.

For questions about the monitoring process, contact your CDE Lead Consultant:
Cody Buchanan (Buchanan\_C@cde.state.co.us) or Anna Young (Young\_A@cde.state.co.us).

# Pre-Visit (PV)

**Staffing**

[ ]  Current organization chart (1.1)

[ ]  Job descriptions for all 21st CCLC primary staff and supported roles (1.1)

[ ]  Description of how the subgrantee hires and retains staff (1.1)

[ ]  Student-to-staff ratio(s) (1.1)

[ ]  Staff needs assessment (1.2)

[ ]  Types and number of staff trainings (1.2)

[ ]  Employee evaluation process (1.3)

[ ]  Volunteer logs (6.1)

**Program**

[ ]  Completed Quality Implementation Rubric (QIR) (3.1)

[ ]  Program calendars/activity schedules (2.1, 3.2)

[ ]  Written program operational policies and procedures and/or handbook (2.6, 3.2)

[ ]  Outreach/promotional documents that include 21st CCLC logo/other identifying information (2.2)

[ ]  Communications plan (to school-day personnel) (2.3)

[ ]  Description of programming and how programming meets evidence-based criteria for students and their families (3.1)

[ ]  Policies/procedures for housing program(s) in a safe and accessible facility (2.7)

[ ]  Documentation of accommodations provided to special needs students (2.7)

[ ]  Transportation policy for 21st CCLC students and related documents (2.8)

[ ]  Process for ensuring that transportation is not a barrier (2.8)

[ ]  Process for ensuring transportation provided by LEA or transportation partner is safe (2.8)

[ ]  Documentation of initial non-public school consultation, as approved in the grant application (8.2)

[ ]  Policies and procedures for timely and meaningful non-public school consultation(s) (8.2)

[ ]  Written correspondence sent to non-public school(s) (8.2)

[ ]  Copies of written affirmation(s) signed by non-public school official(s) that prove consultation occurred (8.2)

[ ]  If ELT, proof of meeting components of submitted and approved ELT plan (or approved amendments) (2.9)

# Pre-Visit (PV) (cont.)

**Partners/Providers**

[ ]  Agreements/MOUs/contracts (2.4, 2.5, 2.6, 6.1)

[ ]  Written services, activities and contributions (2.4)

[ ]  Description of how the subgrantee recruits and retains partners and collaborators (2.5)

[ ]  Orientation materials for partners/providers (2.6)

[ ]  Communications plan (for partner input) (2.6)

[ ]  In-kind contribution list (6.1)

**Data and Evaluation**

[ ]  Completed evaluation instruments and surveys, rubrics, etc. (4.1)

[ ]  Process, policies, procedures, checklists for data collection, data verification, and data reporting (4.2)

[ ]  Evaluation results posted on subgrantee website (4.3)

[ ]  Policies/procedures regarding student Personally Identifiable Information (PII) (8.4)

**Fiscal**

[ ]  Description of services and evidence of supplemental nature of these services (6.5)

[ ]  Budget to Actual report (7.1)

[ ]  System-generated transaction detail report of 21st CCLC expenditures that includes date of purchase, vendor,

description of expenditure and amount (7.2)

[ ]  Two months of Time & Effort reports during period to be reviewed (CDE will request specific selection period) for all employees that were paid with 21st CCLC grant funds (7.3)

[ ]  Time & Effort reports for all staff that have travelled for the 21st CCLC grant (7.3)

[ ]  Current inventory list of equipment purchased with 21st CCLC funds, including equipment that exceeds the $500/unit cost (7.4)

[ ]  Internal procedure for checking out equipment, if applicable (7.5)

[ ]  Current list of 21st CCLC staff, including authorized representative identified to sign RFFs (7.6)

[ ]  Copies of the following procedures/policies required under Uniform Grant Guidance: (7.7)

[ ]  Financial Management

[ ]  Procurement

[ ]  Conflict of Interest

[ ]  Micro-Purchases

[ ]  Program Income

[ ]  Method of Conducting Technical Evaluations of Proposal

[ ]  Records Retention

[ ]  Student and Staff Travel Policy

[ ]  Corrective Action implementation plan and progress, if applicable (7.11)

[ ]  Grant Award Letter Attachment #13 (7.12)

[ ]  Detailed procedure/formula that enables equitable distribution of funds to non-public schools (8.2)

**Notes**

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# On-Site Visit (OS)

**Staffing**

[ ]  Performance appraisals of staff and volunteers (1.3)

[ ]  Staff meeting schedules/minutes (2.3)

[ ]  Staff training materials (3.2)

**Program**

[ ]  Sign-in sheets (2.1, 3.1)

[ ]  Completed registration forms (2.1, 3.1)

[ ]  Curricular materials/outlines (3.2)

[ ]  Surveys of classroom teachers and administrators, if available (2.3)

**Partners/Providers**

[ ]  Partner/provider meeting agenda/notes (2.4, 2.5, 2.6)

[ ]  Written correspondence/contact logs (2.5, 2.6)

[ ]  Written correspondence with other state, federal and local programs and/or agencies (3.3)

**Data and Evaluation**

[ ]  Copy of notification to stakeholders about local-level program evaluation results (4.3)

**Notes**

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# Documents on file at CDE

**Staffing**

[ ]  Names, roles and dates of attendance as listed on CDE training sign-in sheets

**Program**

[ ]  Grant application, assurances, and/or approved amendments

[ ]  Unified Improvement Plan (UIP) submitted to CDE

[ ]  PM worksheets approved in the grant application or approved amendments

[ ]  Assessment and trend data discussion of Performance Measure (PM) progress

[ ]  Submitted field trip approval forms

[ ]  Sustainability plan submitted to CDE

[ ]  ELT Plan, if applicable

**Data and Evaluation**

[ ]  EZReports monthly data submissions and other EZReports submissions

[ ]  21st CCLC End-of-Year Reports

[ ]  Submission of required data and evaluation reports

[ ]  Teacher surveys submitted through EZReports

**Fiscal**

[ ]  Approved 21st CCLC budget

[ ]  Funding Chart

[ ]  21st CCLC signed Grant Award Letter (GAL)

[ ]  Interim Financial Reports (IFRs)

[ ]  Annual Financial Reports (AFRs)

[ ]  Grant Distribution Reports

[ ]  Internal IFR List

[ ]  Corrective action plan, if applicable

**Notes**

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