

Indicator 13: Transition Age IEPs

- Importance of Indicator 13 (I 13)
- What does I 13 measure?
- Where does the data come from?
- How is compliance determined?
- What counts as noncompliance?
- Know the required elements of a Transition IEP.
- How can you ensure accurate data reporting?
- What are the consequences for noncompliance?

Importance of Indicator 13

Critical step in ensuring that we fulfill the purpose and spirit of IDEA.

To ensure that all children with disabilities have available to them a free appropriate public education that emphasizes special education and related services designed to meet their unique needs and . . .

Prepare them for further education, employment, and independent living.

§ 300.1 Purposes



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What does Indicator 13 measure?

5



The percent of children age 16 and above (age 15 in Colorado) with an IEP that includes all eight required elements of the Indicator.

§300.320, §300.321, §300.322, §300.43

ECEA 4.03

Target for this indicator is 100% compliance.

How is compliance determined?

AU Data Submission

- Data source – Individual student IEP file reviews
 - Student samples pulled from December Count data
 - IEP reviews submitted through the protocol on the Data Management System (DMS)
 - Transition Tracker submitted to verify compliance of the required number of files reviewed
- Date range – July 1, 2020 – June 2, 2021
- Closing date of AU data submission – June 2, 2021

CDE Data Analysis

- CDE verifies that the AU completes the required number of reviews and that each IEP reviewed includes compliant answers for all 8 required I 13 elements.
- CDE verifies that the completed Transition Tracker is uploaded in the DMS.
- CDE will review and verify any noncompliant IEPs through a desk audit of the IEP.

What counts as noncompliance?

One or more reviewed IEPs were noncompliant for any one of the 8 required transition elements.

Calculation: % Compliant = (Number of youth age 15 and above with IEPs that contain each of the required components for secondary transition/required number of reviews) * 100.

Example:

- Required number of IEP file reviews = 5
- Compliant IEPs = 4
- % Compliant: $4/5 = 0.8 \times 100 = 80\%$

So, what can you do to ensure accurate reporting of Indicator 13? . . .



Know the required elements

- Appropriate measurable postsecondary goals (PSGs) related to training/education, career/employment, and, where appropriate, independent living skills
 - PSGs are required in training/education and career/employment regardless of the severity of the student's disability
- PSGs updated annually
 - If this is the first IEP developed after the student turned 15, this element is not applicable. Choose "N/A" as your answer.





Know the required elements

- Age-appropriate transition assessments
 - Age-appropriate means chronological age
- Transition Services
 - A coordinated set of activities focused on improving the academic and functional achievement to facilitate the movement from school to post-school activities
- Courses of Study that will assist the student in reaching the PSGs





Know the required elements

- Annual goals related to the student's transition services
- Evidence of the student being invited to the IEP meeting where transition services are to be discussed
- Prior consent of the parent to invite a representative of any participating agency that is likely to be responsible for providing or paying for transition services.



How can you ensure accurate data reporting?

- Provide [training on the DMS record review process](#) to staff conducting file reviews
- Review files for compliance on a regular basis: monthly, bi-monthly, quarterly
- Review files prior to a side-by-side collaborative review with CDE
- Require the AU's designated reviewer to submit the completed Transition Tracker to the Director well ahead of the June deadline
- Establish a systemic accountability check procedure
- Use the [Secondary Transition IEP File Review Checklist](#)

Important:

Don't wait until May to review IEPs: no time to correct





Inaccurate reporting results in additional work for you.

Ensuring accurate record review data is reported in the DMS can help to reduce unnecessary work for you in the implementation of the required demonstration of correction process.



Consequences of Noncompliance



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What is demonstration of correction?

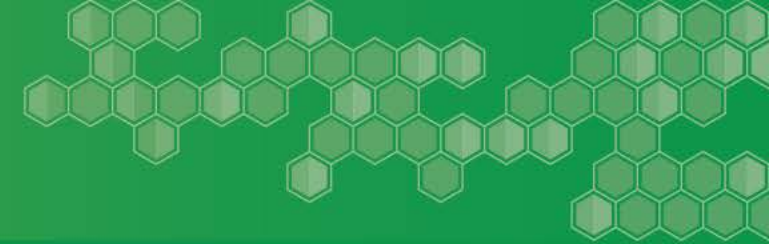
When an AU fails to meet 100% compliance with this indicator, the state must ensure the noncompliance is corrected in accordance with [OSEP memo 09-02](#), which specifies that the CDE must:

1. Ensure that each child's IEP is corrected, and identify the root-cause of the noncompliance ("Individual Correction"); and
2. Review updated data to determine if the AU is correctly implementing the specific regulatory requirements related to Indicator 13 ("Review of Updated Data").





Individual student correction



Indicator 13 Correction Tracker

Correction of Noncompliance Occurred in SY2020-21

Completed Tracker Due 11-xx-2021

AU Name: Disney BOCES

Number of Noncompliant IEPs	5
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The State Performance Plan (SPP) Indicator 13 measures the percent of students age 16 and above (age 15 in Colorado) whose IEPs include all eight required elements of the indicator (34 CFR §300.43, 34 CFR §300.321, ECEA 4.03). When an AU fails to meet 100% compliance with this indicator, the state must ensure the noncompliance is corrected in accordance with OSEP memo 09-02, which specifies that the CDE must:

1. Ensure that each noncompliant element of each child's IEP is corrected, and identify the root-cause of the noncompliance ("Individual Correction"); and
2. Review updated data to determine if the AU is correctly implementing the specific regulatory requirements related to Indicator 13 ("Review of Updated Data").

This Ind 13 Correction Tracker is designed to fulfill the individual correction requirement. Below is the list of students whose IEPs were found noncompliant during SY2020-21. **Please fill out the columns highlighted in grey for each student. For students who are still in your AU's jurisdiction, upload the current IEP and conduct the complete file review.** Please ensure that the IEP is 100% compliant and all documents are uploaded to the DMS compliance tab by **November xx, 2021**.

Student Demographic					IEP Info					
DMS Record Number	SASID	First Name	Last Name	Date of Birth	Date of Non-compliant IEP	Noncompliant Element(s) of the IEP	Reason for Noncompliance	Is the student still in your AU's jurisdiction?	Date of Corrected IEP or IEP Amendment	Reviewer's Name
1	6843521	Donald	Duck	7/5/2000	9/4/2020	PSG Independent Living	No Accountability Check	Yes	9/2/2021	Daisy Duck
2	86542	Finding	Nemo	12/3/2003	10/20/2020	PSGs not reviewed Courses of study	Other - Explain in the next tab	Yes	10/15/2021	Jaws the Shark
3	1553874	Ariel	Mermaid	10/5/2002	2/13/2021	Assessment	Staff training issue	No - no need to fill out the next columns		
4	857452	Winnie	Pooh	4/22/2000	11/5/2020	Annual Goals Transition Services	No Accountability Check	No - no need to fill out the next columns		
5	68454	Sebastian	Lobster	6/18/2001	11/28/2020	Student Invitation	Other - Explain in the next tab	Yes	11/16/2021	Flounder the Fish



Individual student correction

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For each student, select a "Reason for Noncompliance" from the drop-down menu

Dropdown Menu Options

No accountability check

Staff training issue

Other – explain in the next tab

Tracker Explanation for "Other" +

If you select "Other", open this color-coded tab to provide explanation



Individual student correction

For each student, report whether or not the student is still in your AU's jurisdiction
If "No" stop here
If "Yes" proceed to correcting IEP

Student Demographic					IEP					
DMS Record Number	SASID	First Name	Last Name	Date of Birth	Date of Non-compliant IEP	Noncompliant Element(s) of the IEP	Reason for Noncompliance	Is the student still in your AU's jurisdiction?	Date of Corrected IEP or IEP Amendment	Reviewer's Name
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Individual student correction

- Upload the corrected IEP or IEP amendment into the column titled “Individual Corrections SY-----)”
- Complete the IEP record review in the DMS and ensure the IEP is 100% compliant
 - You should see no blank spaces or red circles when finished.

Compliance

Print Help

		<u>Individual Corrections 2017-2018 8/1/2019</u>	<u>Early Childhood Review age 3 through 4 7/1/2019</u>	<u>Standard Record Review age 5 through 14 7/1/2019</u>	<u>Transition Record Review 15 years and older 7/1/2019</u>	
Course of Study	93%					
Transition Services	100%					
Student Invitation	92%					
Outside Agency	100%	NA	NA	NA	NA	NA



Individual student correction

After IEPs have been corrected, uploaded, and reviewed for compliance in the DMS, complete the last 2 columns

Student Demographic					IEP Info					
DMS Record Number	SASID	First Name	Last Name	Date of Birth	Date of Non-compliant IEP	Noncompliant Element(s) of the IEP	Reason for Noncompliance	Is the student still in your AU's jurisdiction?	Date of Corrected IEP or IEP Amendment	Reviewer's Name
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Individual Correction

The Individual Correction Tracker is designed to fulfill the “Individual Correction” obligation to ensure that each child’s noncompliant IEP was corrected and identify the root-cause of the noncompliance (“Individual Correction”).

- Once completed, upload the completed I 13 Correction Tracker to the Attachment list of the Compliance tab in the DMS
 - Label it: ***Completed I 13 Correction Tracker SY -----***
- The CDE conducts a desk audit of the corrected IEP or IEP Amendment and the information in the Tracker and reports results to the AU.

Review of Updated Data

The CDE will conduct the “Review of Updated Data” by participating in CDE/AU collaborative side-by-side reviews of Transition IEPs the following year to determine if the AU is correctly implementing the specific regulatory requirements related to Indicator 13.

If the AU continues to report noncompliance for Indicator 13, additional monitoring activities may be required.



Questions

ESSU Contact Information

• CONTACT
me

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